

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                             |   |                        |
|-----------------------------|---|------------------------|
| In re Application of:       | ) |                        |
|                             | ) |                        |
| Robert Edward KOHLER et al. | ) | Group Art Unit: 3731   |
|                             | ) |                        |
| Application No.: 10/052,156 | ) | Examiner: U. T. HO     |
|                             | ) |                        |
| Filed: January 16, 2002     | ) |                        |
|                             | ) |                        |
| For: ENCASED IMPLANT AND    | ) | Confirmation No.: 1036 |
| METHODS                     | ) |                        |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b), with a response to the non-final Office Action mailed April 21, 2004, and before the mailing date of either a Final action, a Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee payment of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents are submitted herewith.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO 1449.

Regarding the non-English language documents:

WO 96/32972 - An English language Abstract is submitted herewith. Moreover, U.S. Patent No. 6,458,323 B1 (a copy of which is submitted herewith) and U.S. Patent Application Publication No. 2003/0044315 A1 (a copy of which is submitted herewith) are believed to be English language counterpart applications of WO 96/32972.

WO 96/35469 - An English language Abstract is submitted herewith. Moreover, U.S. Patent Nos. 6,251,104 B1 and 6,322,548 B1 (copies of which are submitted herewith), are believed to be English language counterpart applications of WO 96/35469.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

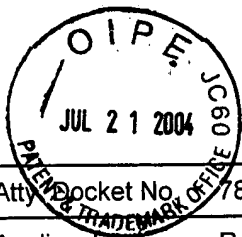
If there is any other fee not already accounted for and due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 21, 2004

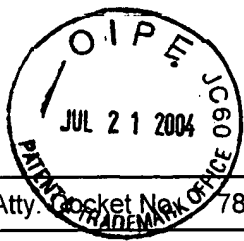
By:   
Michael W. Kim  
Reg. No. 51,880



INFORMATION DISCLOSURE CITATION

|                 |                             |            |            |
|-----------------|-----------------------------|------------|------------|
| Atty Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant       | Robert Edward KOHLER et al. |            |            |
| Filing Date     | January 16, 2002            | Group:     | 3731       |

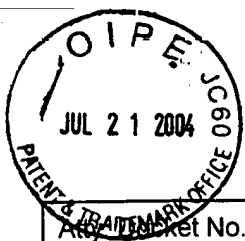
| U.S. PATENT DOCUMENTS |  |                 |            |                   |       |           |                            |
|-----------------------|--|-----------------|------------|-------------------|-------|-----------|----------------------------|
| Examiner Initial*     |  | Document Number | Issue Date | Name              | Class | Sub Class | Filing Date If Appropriate |
|                       |  | 5,258,008       | 11/1/1993  | Wilk              |       |           |                            |
|                       |  | 5,330,486       | 7/1/1994   | Wilk              |       |           |                            |
|                       |  | 5,429,144       | 7/1/1995   | Wilk              |       |           |                            |
|                       |  | 5,470,320       | 11/1/1995  | Tiefenbrun et al. |       |           |                            |
|                       |  | 5,662,124       | 9/1/1997   | Wilk              |       |           |                            |
|                       |  | 5,758,663       | 6/1/1998   | Wilk et al.       |       |           |                            |
|                       |  | 5,908,028       | 6/1/1999   | Wilk              |       |           |                            |
|                       |  | 6,196,230 B1    | 3/1/2001   | Hall et al.       |       |           |                            |
|                       |  | 6,253,768 B1    | 7/1/2001   | Wilk              |       |           |                            |
|                       |  | 6,254,564 B1    | 7/1/2001   | Wilk et al.       |       |           |                            |
|                       |  | 6,261,304 B1    | 7/1/2001   | Hall et al.       |       |           |                            |
|                       |  | 6,290,728 B1    | 9/1/2001   | Phelps et al.     |       |           |                            |
|                       |  | 6,302,892 B1    | 10/1/2001  | Wilk              |       |           |                            |
|                       |  | 6,363,939 B1    | 4/1/2002   | Wilk              |       |           |                            |
|                       |  | 6,387,119 B2    | 5/1/2002   | Wolf et al.       |       |           |                            |
|                       |  | 6,409,751 B1    | 6/1/2002   | Hall et al.       |       |           |                            |
|                       |  | 6,458,323 B1    | 10/1/2002  | Boekstegers       |       |           |                            |
|                       |  | 6,582,444 B2    | 6/1/2003   | Wilk              |       |           |                            |
|                       |  | 6,605,053 B1    | 8/1/2003   | Kamm et al.       |       |           |                            |
|                       |  | 6,605,113 B2    | 8/1/2003   | Wilk              |       |           |                            |
|                       |  | 6,610,100 B2    | 8/1/2003   | Phelps et al.     |       |           |                            |
|                       |  | 6,632,470 B2    | 10/1/2003  | Morra et al.      |       |           |                            |
|                       |  | 6,638,237 B1    | 10/1/2003  | Guiles et al.     |       |           |                            |
|                       |  | 6,641,610 B2    | 11/1/2003  | Wolf et al.       |       |           |                            |
|                       |  | 6,694,983 B2    | 2/1/2004   | Wolf et al.       |       |           |                            |
|                       |  |                 |            |                   |       |           |                            |



# INFORMATION DISCLOSURE CITATION

|                                       |                       |
|---------------------------------------|-----------------------|
| Atty.CKET No. 7883.0111               | Appln. No. 10/052,156 |
| Applicant Robert Edward KOHLER et al. |                       |
| Filing Date January 16, 2002          | Group: 3731           |

| U.S. PATENT APPLICATION PUBLICATIONS |  |                 |            |                    |       |           |                            |
|--------------------------------------|--|-----------------|------------|--------------------|-------|-----------|----------------------------|
| Examiner Initial*                    |  | Document Number | Issue Date | Name               | Class | Sub Class | Filing Date If Appropriate |
|                                      |  | 2001/0034547 A1 | 10/1/2001  | Hall et al.        |       |           |                            |
|                                      |  | 2001/0037149 A1 | 11/1/2001  | Wilk               |       |           |                            |
|                                      |  | 2001/0039445 A1 | 11/1/2001  | Hall et al.        |       |           |                            |
|                                      |  | 2001/0053932 A1 | 12/1/2001  | Phelps et al.      |       |           |                            |
|                                      |  | 2002/0004662 A1 | 1/1/2001   | Wilk               |       |           |                            |
|                                      |  | 2002/0007138 A1 | 1/1/2002   | Wilk et al.        |       |           |                            |
|                                      |  | 2002/0032478 A1 | 3/1/2002   | Boekstegers et al. |       |           |                            |
|                                      |  | 2002/0045928 A1 | 4/1/2002   | Boekstegers        |       |           |                            |
|                                      |  | 2002/0058897 A1 | 5/1/2002   | Renati             |       |           |                            |
|                                      |  | 2002/0092535 A1 | 7/1/2002   | Wilk               |       |           |                            |
|                                      |  | 2002/0100484 A1 | 8/1/2002   | Hall et al.        |       |           |                            |
|                                      |  | 2002/0165479 A1 | 11/1/2002  | Wilk               |       |           |                            |
|                                      |  | 2002/0165606 A1 | 11/1/2002  | Wolf et al.        |       |           |                            |
|                                      |  | 2003/0044315 A1 | 3/1/2003   | Boekstegers        |       |           |                            |
|                                      |  | 2003/0045828 A1 | 3/1/2003   | Wilk               |       |           |                            |
|                                      |  | 2003/0055371 A1 | 3/1/2003   | Wolf et al.        |       |           |                            |
|                                      |  | 2003/0105514 A1 | 6/1/2003   | Phelps et al.      |       |           |                            |
|                                      |  | 2003/0163198 A1 | 8/1/2003   | Morra et al.       |       |           |                            |
|                                      |  | 2003/0195458 A1 | 10/1/2003  | Phelps et al.      |       |           |                            |
|                                      |  | 2003/0204160 A1 | 10/1/2003  | Kamm et al.        |       |           |                            |
|                                      |  | 2003/0212413 A1 | 11/1/2003  | Wilk               |       |           |                            |
|                                      |  | 2003/0216679 A1 | 11/1/2003  | Wolf et al.        |       |           |                            |
|                                      |  | 2004/0006298 A1 | 1/1/2004   | Wilk               |       |           |                            |
|                                      |  | 2004/0037946 A1 | 2/1/2004   | Morra et al.       |       |           |                            |
|                                      |  | 2004/0106931 A1 | 6/1/2004   | Guiles et al.      |       |           |                            |
|                                      |  |                 |            |                    |       |           |                            |



## INFORMATION DISCLOSURE CITATION

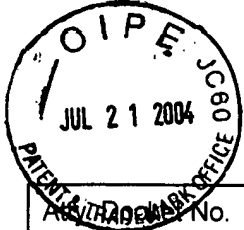
|             |                             |            |            |
|-------------|-----------------------------|------------|------------|
| Patent No.  | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant   | Robert Edward KOHLER et al. |            |            |
| Filing Date | January 16, 2002            | Group:     | 3731       |

## FOREIGN PATENT DOCUMENTS

|  | Document Number | Publication Date | Country   | Class | Sub Class | Translation Yes or No |
|--|-----------------|------------------|-----------|-------|-----------|-----------------------|
|  | WO 96/32972     | 10/1/1996        | PCT       |       |           | Abstract              |
|  | WO 99/38459     | 8/1/1999         | PCT       |       |           |                       |
|  | WO 00/15146     | 3/1/2000         | PCT       |       |           |                       |
|  | WO 00/15147     | 3/1/2000         | PCT       |       |           |                       |
|  | WO 00/15148     | 3/1/2000         | PCT       |       |           |                       |
|  | WO 00/15149 A1  | 3/1/2000         | PCT       |       |           |                       |
|  | WO 00/15275     | 3/1/2000         | PCT       |       |           |                       |
|  | WO 00/71195 A1  | 11/1/2000        | PCT       |       |           |                       |
|  | WO 01/10340 A1  | 2/1/2001         | PCT       |       |           |                       |
|  | WO 01/10341 A2  | 2/1/2001         | PCT       |       |           |                       |
|  | WO 01/10347 A1  | 2/1/2001         | PCT       |       |           |                       |
|  | WO 01/10348 A1  | 2/1/2001         | PCT       |       |           |                       |
|  | WO 01/10349 A1  | 2/1/2001         | PCT       |       |           |                       |
|  | WO 01/17456 A1  | 3/1/2001         | PCT       |       |           |                       |
|  | WO 01/82837 A2  | 11/1/2001        | PCT       |       |           |                       |
|  | WO 02/011647 A2 | 2/1/2002         | PCT       |       |           |                       |
|  | WO 02/060509 A1 | 8/1/2002         | PCT       |       |           |                       |
|  | AU 757647       | 2/1/2003         | Australia |       |           |                       |
|  |                 |                  |           |       |           |                       |

## U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name             | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|------------------|-------|-----------|----------------------------|
|                   | 4,953,553       | 9/1/1990   | Tremulis         |       |           |                            |
|                   | 5,193,546       | 3/1/1993   | Shaknovich       |       |           |                            |
|                   | 5,344,426       | 9/1/1994   | Lau, et al.      |       |           |                            |
|                   | 5,389,096       | 2/1/1995   | Aita, et al.     |       |           |                            |
|                   | 5,554,119       | 9/1/1996   | Harrison, et al. |       |           |                            |

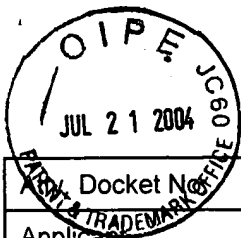


# INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

|             |                             |            |            |
|-------------|-----------------------------|------------|------------|
| Patent No.  | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant   | Robert Edward KOHLER et al. |            |            |
| Filing Date | January 16, 2002            | Group:     | 3731       |

|  |           |           |                             |  |  |  |
|--|-----------|-----------|-----------------------------|--|--|--|
|  | 5,593,434 | 1/1/1997  | Williams                    |  |  |  |
|  | 5,618,299 | 4/1/1997  | Khosravi, et al.            |  |  |  |
|  | 5,733,267 | 3/1/1998  | Del Toro                    |  |  |  |
|  | 5,807,384 | 9/1/1998  | Mueller                     |  |  |  |
|  | 5,810,836 | 9/1/1998  | Hussein et al.              |  |  |  |
|  | 5,824,071 | 10/1/1998 | Nelson et al.               |  |  |  |
|  | 5,830,222 | 11/1/1998 | Makower                     |  |  |  |
|  | 5,876,373 | 3/1/1999  | Giba et al.                 |  |  |  |
|  | 5,878,751 | 3/1/1999  | Hussein et al.              |  |  |  |
|  | 5,885,259 | 3/1/1999  | Berg                        |  |  |  |
|  | 5,922,022 | 7/1/1999  | Nash et al.                 |  |  |  |
|  | 5,925,012 | 7/1/1999  | Murphy-<br>Chutorian et al. |  |  |  |
|  | 5,931,848 | 8/1/1999  | Saadat                      |  |  |  |
|  | 5,935,161 | 8/1/1999  | Robinson et al.             |  |  |  |
|  | 5,938,632 | 8/1/1999  | Ellis                       |  |  |  |
|  | 5,968,064 | 10/1/1999 | Selmon et al.               |  |  |  |
|  | 5,971,993 | 10/1/1999 | Hussein et al.              |  |  |  |
|  | 5,976,155 | 11/1/1999 | Foreman et al.              |  |  |  |
|  | 5,980,533 | 11/1/1999 | Holman                      |  |  |  |
|  | 5,980,548 | 11/1/1999 | Evans et al.                |  |  |  |
|  | 5,997,525 | 12/1/1999 | March et al.                |  |  |  |
|  | 5,999,678 | 12/1/1999 | Murphy-<br>Chutorian et al. |  |  |  |
|  | 6,004,261 | 12/1/1999 | Sinofsky et al.             |  |  |  |
|  | 6,004,347 | 12/1/1999 | McNamara et al.             |  |  |  |
|  | 6,007,543 | 12/1/1999 | Ellis et al.                |  |  |  |
|  | 6,010,449 | 1/1/2000  | Selmon et al.               |  |  |  |
|  | 6,026,814 | 2/1/2000  | LaFontaine et al.           |  |  |  |
|  | 6,035,856 | 3/1/2000  | LaFontaine et al.           |  |  |  |
|  | 6,036,677 | 3/1/2000  | Javier, Jr. et al.          |  |  |  |

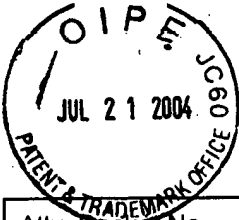


INFORMATION DISCLOSURE CITATION

|                 |                             |            |            |
|-----------------|-----------------------------|------------|------------|
| App. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant       | Robert Edward KOHLER et al. |            |            |
| Filing Date     | January 16, 2002            | Group:     | 3731       |

|  |              |           |                    |  |  |  |
|--|--------------|-----------|--------------------|--|--|--|
|  | 6,036,697    | 3/1/2000  | DiCaprio           |  |  |  |
|  | 6,045,565    | 4/1/2000  | Ellis et al.       |  |  |  |
|  | 6,053,924    | 4/1/2000  | Hussein            |  |  |  |
|  | 6,056,743    | 5/1/2000  | Ellis et al.       |  |  |  |
|  | 6,067,988    | 5/1/2000  | Mueller            |  |  |  |
|  | 6,068,638    | 5/1/2000  | Makower            |  |  |  |
|  | 6,071,292    | 6/1/2000  | Makower et al.     |  |  |  |
|  | 6,080,163    | 6/1/2000  | Hussein et al.     |  |  |  |
|  | 6,080,170    | 6/1/2000  | Nash et al.        |  |  |  |
|  | 6,092,526    | 7/1/2000  | LaFontaine et al.  |  |  |  |
|  | 6,093,177    | 7/1/2000  | Javier, Jr. et al. |  |  |  |
|  | 6,093,185    | 7/1/2000  | Ellis et al.       |  |  |  |
|  | 6,120,520    | 9/1/2000  | Saadat et al.      |  |  |  |
|  | 6,126,649    | 10/1/2000 | VanTassel et al.   |  |  |  |
|  | 6,126,654    | 10/1/2000 | Giba et al.        |  |  |  |
|  | 6,132,451    | 10/1/2000 | Payne et al.       |  |  |  |
|  | 6,155,264    | 12/1/2000 | Ressemann et al.   |  |  |  |
|  | 6,156,031    | 12/1/2000 | Aita et al.        |  |  |  |
|  | 6,157,852    | 12/1/2000 | Selmon et al.      |  |  |  |
|  | 6,159,225    | 12/1/2000 | Makower            |  |  |  |
|  | 6,165,185    | 12/1/2000 | Shennib et al.     |  |  |  |
|  | 6,165,188    | 12/1/2000 | Saadat et al.      |  |  |  |
|  | 6,171,251 B1 | 1/1/2001  | Mueller et al.     |  |  |  |
|  | 6,186,972 B1 | 2/1/2001  | Nelson et al.      |  |  |  |
|  | 6,190,353 B1 | 2/1/2001  | Makower et al.     |  |  |  |
|  | 6,193,734 B1 | 2/1/2001  | Bolduc et al.      |  |  |  |
|  | 6,197,324 B1 | 3/1/2001  | Crittenden         |  |  |  |
|  | 6,200,311 B1 | 3/1/2001  | Danek et al.       |  |  |  |
|  | 6,203,556 B1 | 3/1/2001  | Evans et al.       |  |  |  |

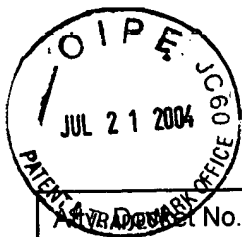




## INFORMATION DISCLOSURE CITATION

|                  |                             |            |            |
|------------------|-----------------------------|------------|------------|
| Atty. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant        | Robert Edward KOHLER et al. |            |            |
| Filing Date      | January 16, 2002            | Group:     | 3731       |

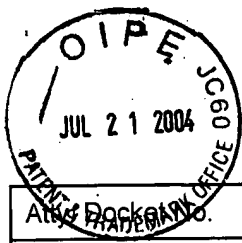
|  |              |           |                   |  |  |  |
|--|--------------|-----------|-------------------|--|--|--|
|  | 6,213,126 B1 | 4/1/2001  | LaFontaine et al. |  |  |  |
|  | 6,217,527 B1 | 4/1/2001  | Selmon et al.     |  |  |  |
|  | 6,217,549 B1 | 4/1/2001  | Selmon et al.     |  |  |  |
|  | 6,217,575 B1 | 4/1/2001  | DeVore et al.     |  |  |  |
|  | 6,221,049 B1 | 4/1/2001  | Selmon et al.     |  |  |  |
|  | 6,224,584 B1 | 5/1/2001  | March et al.      |  |  |  |
|  | 6,231,546 B1 | 5/1/2001  | Milo et al.       |  |  |  |
|  | 6,231,551 B1 | 5/1/2001  | Barbut            |  |  |  |
|  | 6,231,587 B1 | 5/1/2001  | Makower           |  |  |  |
|  | 6,235,000 B1 | 5/1/2001  | Milo et al.       |  |  |  |
|  | 6,238,406 B1 | 5/1/2001  | Ellis et al.      |  |  |  |
|  | 6,241,667 B1 | 6/1/2001  | Vetter et al.     |  |  |  |
|  | 6,248,112 B1 | 6/1/2001  | Gambale et al.    |  |  |  |
|  | 6,251,079 B1 | 6/1/2001  | Gambale et al.    |  |  |  |
|  | 6,251,104 B1 | 6/1/2001  | Kesten et al.     |  |  |  |
|  | 6,251,116 B1 | 6/1/2001  | Shennib et al.    |  |  |  |
|  | 6,251,418 B1 | 6/1/2001  | Ahern et al.      |  |  |  |
|  | 6,253,769 B1 | 7/1/2001  | LaFontaine et al. |  |  |  |
|  | 6,258,052 B1 | 7/1/2001  | Milo              |  |  |  |
|  | 6,258,119 B1 | 7/1/2001  | Hussein et al.    |  |  |  |
|  | 6,283,951 B1 | 9/1/2001  | Flaherty et al.   |  |  |  |
|  | 6,283,983 B1 | 9/1/2001  | Makower et al.    |  |  |  |
|  | 6,285,903 B1 | 9/1/2001  | Rosenthal et al.  |  |  |  |
|  | 6,287,317 B1 | 9/1/2001  | Makower et al.    |  |  |  |
|  | 6,290,709 B1 | 9/1/2001  | Ellis et al.      |  |  |  |
|  | 6,302,875 B1 | 10/1/2001 | Makower et al.    |  |  |  |
|  | 6,322,548 B1 | 11/1/2001 | Payne et al.      |  |  |  |
|  | 6,330,884 B1 | 12/1/2001 | Kim               |  |  |  |
|  | 6,331,185 B1 | 12/1/2001 | Gambale et al.    |  |  |  |
|  | 6,344,027 B1 | 2/1/2002  | Goll              |  |  |  |



## INFORMATION DISCLOSURE CITATION

|               |                             |            |            |
|---------------|-----------------------------|------------|------------|
| Applicant No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant     | Robert Edward KOHLER et al. |            |            |
| Filing Date   | January 16, 2002            | Group:     | 3731       |

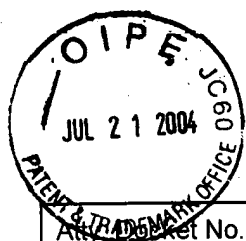
|  |              |           |                   |  |  |  |
|--|--------------|-----------|-------------------|--|--|--|
|  | 6,350,248 B1 | 2/1/2002  | Knudson et al.    |  |  |  |
|  | 6,352,543 B1 | 3/1/2002  | Cole              |  |  |  |
|  | 6,361,519 B1 | 3/1/2002  | Knudson et al.    |  |  |  |
|  | 6,363,938 B2 | 4/1/2002  | Saadat et al.     |  |  |  |
|  | 6,375,615 B1 | 4/1/2002  | Flaherty et al.   |  |  |  |
|  | 6,379,319 B1 | 4/1/2002  | Garibotto et al.  |  |  |  |
|  | 6,390,098 B1 | 5/1/2002  | LaFontaine et al. |  |  |  |
|  | 6,395,208 B1 | 5/1/2002  | Herweck et al.    |  |  |  |
|  | 6,402,740 B1 | 6/1/2002  | Ellis et al.      |  |  |  |
|  | 6,406,488 B1 | 6/1/2002  | Tweden et al.     |  |  |  |
|  | 6,406,491 B1 | 6/1/2002  | Vanney            |  |  |  |
|  | 6,409,697 B2 | 6/1/2002  | Eno et al.        |  |  |  |
|  | 6,416,490 B1 | 7/1/2002  | Ellis et al.      |  |  |  |
|  | 6,423,089 B1 | 7/1/2002  | Gingras et al.    |  |  |  |
|  | 6,432,119 B1 | 8/1/2002  | Saadat            |  |  |  |
|  | 6,432,126 B1 | 8/1/2002  | Gambale et al.    |  |  |  |
|  | 6,432,127 B1 | 8/1/2002  | Kim et al.        |  |  |  |
|  | 6,432,132 B1 | 8/1/2002  | Cottone et al.    |  |  |  |
|  | 6,443,158 B1 | 9/1/2002  | LaFontaine et al. |  |  |  |
|  | 6,447,522 B2 | 9/1/2002  | Gambale et al.    |  |  |  |
|  | 6,447,539 B1 | 9/1/2002  | Nelson et al.     |  |  |  |
|  | 6,454,760 B2 | 9/1/2002  | Vanney            |  |  |  |
|  | 6,454,794 B1 | 9/1/2002  | Knudson et al.    |  |  |  |
|  | 6,458,092 B1 | 10/1/2002 | Gambale et al.    |  |  |  |
|  | 6,458,140 B2 | 10/1/2002 | Akin et al.       |  |  |  |
|  | 6,464,709 B2 | 10/1/2002 | Shennib et al.    |  |  |  |
|  | 6,475,226 B1 | 11/1/2002 | Belef et al.      |  |  |  |
|  | 6,475,244 B2 | 11/1/2002 | Herweck et al.    |  |  |  |
|  | 6,482,220 B1 | 11/1/2002 | Mueller           |  |  |  |
|  | 6,491,689 B1 | 12/1/2002 | Ellis et al.      |  |  |  |



INFORMATION DISCLOSURE CITATION

|               |                             |            |            |
|---------------|-----------------------------|------------|------------|
| Applicant No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant     | Robert Edward KOHLER et al. |            |            |
| Filing Date   | January 16, 2002            | Group:     | 3731       |

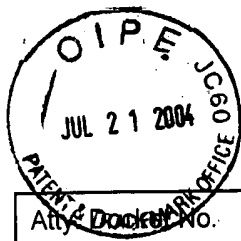
|  |              |           |                   |  |  |  |
|--|--------------|-----------|-------------------|--|--|--|
|  | 6,491,707 B2 | 12/1/2002 | Makower et al.    |  |  |  |
|  | 6,506,408 B1 | 1/1/2003  | Palasis           |  |  |  |
|  | 6,508,783 B2 | 1/1/2003  | DeVore            |  |  |  |
|  | 6,508,824 B1 | 1/1/2003  | Flaherty et al.   |  |  |  |
|  | 6,508,825 B1 | 1/1/2003  | Selmon et al.     |  |  |  |
|  | 6,511,458 B2 | 1/1/2003  | Milo et al.       |  |  |  |
|  | 6,514,217 B1 | 2/1/2003  | Selmon et al.     |  |  |  |
|  | 6,514,271 B2 | 2/1/2003  | Evans et al.      |  |  |  |
|  | 6,517,527 B2 | 2/1/2003  | Gambale et al.    |  |  |  |
|  | 6,517,558 B2 | 2/1/2003  | Gittings et al.   |  |  |  |
|  | 6,524,323 B1 | 2/1/2003  | Nash et al.       |  |  |  |
|  | 6,524,324 B1 | 2/1/2003  | Mueller et al.    |  |  |  |
|  | 6,530,914 B1 | 3/1/2003  | Mickley           |  |  |  |
|  | 6,533,779 B2 | 3/1/2003  | Kinsella et al.   |  |  |  |
|  | 6,544,220 B2 | 4/1/2003  | Shuman et al.     |  |  |  |
|  | 6,544,230 B1 | 4/1/2003  | Flaherty          |  |  |  |
|  | 6,559,132 B1 | 5/1/2003  | Holmer            |  |  |  |
|  | 6,561,998 B1 | 5/1/2003  | Roth et al.       |  |  |  |
|  | 6,562,066 B1 | 5/1/2003  | Martin            |  |  |  |
|  | 6,565,528 B1 | 5/1/2003  | Mueller           |  |  |  |
|  | 6,565,594 B1 | 5/1/2003  | Herweck et al.    |  |  |  |
|  | 6,569,145 B1 | 5/1/2003  | Shmulewitz et al. |  |  |  |
|  | 6,569,147 B1 | 5/1/2003  | Evans et al.      |  |  |  |
|  | 6,573,311 B1 | 6/1/2003  | Martakos et al.   |  |  |  |
|  | 6,575,168 B2 | 6/1/2003  | LaFontaine et al. |  |  |  |
|  | 6,579,311 B1 | 6/1/2003  | Makower           |  |  |  |
|  | 6,582,463 B1 | 6/1/2003  | Mowry et al.      |  |  |  |
|  | 6,585,650 B1 | 7/1/2003  | Solem             |  |  |  |
|  | 6,587,718 B1 | 7/1/2003  | Talpade           |  |  |  |



## INFORMATION DISCLOSURE CITATION

|                 |                             |            |            |
|-----------------|-----------------------------|------------|------------|
| App. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant       | Robert Edward KOHLER et al. |            |            |
| Filing Date     | January 16, 2002            | Group:     | 3731       |

|  |              |           |                 |  |  |  |
|--|--------------|-----------|-----------------|--|--|--|
|  | 6,589,164 B1 | 7/1/2003  | Flaherty        |  |  |  |
|  | 6,599,304 B1 | 7/1/2003  | Selmon et al.   |  |  |  |
|  | 6,602,241 B2 | 8/1/2003  | Makower et al.  |  |  |  |
|  | 6,613,026 B1 | 9/1/2003  | Palasis et al.  |  |  |  |
|  | 6,613,081 B2 | 9/1/2003  | Kim et al.      |  |  |  |
|  | 6,616,626 B2 | 9/1/2003  | Crank et al.    |  |  |  |
|  | 6,616,675 B1 | 9/1/2003  | Evard et al.    |  |  |  |
|  | 6,635,214 B2 | 10/1/2003 | Rapacki et al.  |  |  |  |
|  | 6,638,247 B1 | 10/1/2003 | Selmon et al.   |  |  |  |
|  | 6,638,293 B1 | 10/1/2003 | Makower et al.  |  |  |  |
|  | 6,651,670 B2 | 11/1/2003 | Rapacki et al.  |  |  |  |
|  | 6,652,540 B1 | 11/1/2003 | Cole et al.     |  |  |  |
|  | 6,652,546 B1 | 11/1/2003 | Nash et al.     |  |  |  |
|  | 6,655,386 B1 | 12/1/2003 | Makower et al.  |  |  |  |
|  | 6,660,003 B1 | 12/1/2003 | DeVore et al.   |  |  |  |
|  | 6,660,024 B1 | 12/1/2003 | Flaherty et al. |  |  |  |
|  | 6,666,863 B2 | 12/1/2003 | Wentzel et al.  |  |  |  |
|  | 6,669,691 B1 | 12/1/2003 | Taimisto        |  |  |  |
|  | 6,669,709 B1 | 12/1/2003 | Cohn et al.     |  |  |  |
|  | 6,676,695 B2 | 1/1/2004  | Solem           |  |  |  |
|  | 6,685,648 B2 | 2/1/2004  | Flaherty et al. |  |  |  |
|  | 6,685,716 B1 | 2/1/2004  | Flaherty et al. |  |  |  |
|  | 6,709,425 B2 | 3/1/2004  | Gambale et al.  |  |  |  |
|  | 6,709,427 B1 | 3/1/2004  | Nash et al.     |  |  |  |
|  | 6,709,444 B1 | 3/1/2004  | Makower         |  |  |  |
|  | 6,719,770 B2 | 4/1/2004  | Laufer et al.   |  |  |  |
|  | 6,726,677 B1 | 4/1/2004  | Flaherty et al. |  |  |  |
|  |              |           |                 |  |  |  |

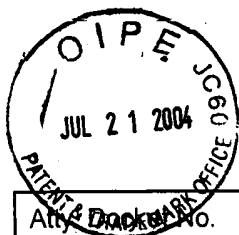


OMB No. 0651-0011

# INFORMATION DISCLOSURE CITATION

|                  |                             |            |            |
|------------------|-----------------------------|------------|------------|
| Atty. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant        | Robert Edward KOHLER et al. |            |            |
| Filing Date      | January 16, 2002            | Group:     | 3731       |

| U.S. PATENT APPLICATION PUBLICATIONS |  |                 |            |                   |       |           |                            |
|--------------------------------------|--|-----------------|------------|-------------------|-------|-----------|----------------------------|
| Examiner Initial*                    |  | Document Number | Issue Date | Name              | Class | Sub Class | Filing Date If Appropriate |
|                                      |  | 2001/0000041 A1 | 3/1/2001   | Selmon et al.     |       |           |                            |
|                                      |  | 2001/0018596 A1 | 8/1/2001   | Selmon et al.     |       |           |                            |
|                                      |  | 2001/0020172 A1 | 9/1/2001   | Selmon et al.     |       |           |                            |
|                                      |  | 2001/0025643 A1 | 10/1/2001  | Foley             |       |           |                            |
|                                      |  | 2001/0027287 A1 | 10/1/2001  | Shmulewitz et al. |       |           |                            |
|                                      |  | 2002/0095206 A1 | 7/1/2002   | Addonizio et al.  |       |           |                            |
|                                      |  | 2002/0183716 A1 | 12/1/2002  | Herweck et al.    |       |           |                            |
|                                      |  | 2002/0193782 A1 | 12/1/2002  | Ellis et al.      |       |           |                            |
|                                      |  | 2003/0078561 A1 | 4/1/2003   | Gambale et al.    |       |           |                            |
|                                      |  | 2003/0120195 A1 | 6/1/2003   | Milo et al.       |       |           |                            |
|                                      |  | 2003/0149474 A1 | 8/1/2003   | Becker            |       |           |                            |
|                                      |  | 2003/0158573 A1 | 8/1/2003   | Gittings et al.   |       |           |                            |
|                                      |  | 2003/0181938 A1 | 9/1/2003   | Roth et al.       |       |           |                            |
|                                      |  | 2003/0191449 A1 | 10/1/2003  | Nash et al.       |       |           |                            |
|                                      |  | 2003/0195457 A1 | 10/1/2003  | LaFontaine et al. |       |           |                            |
|                                      |  | 2003/0229366 A1 | 12/1/2003  | Reggie et al.     |       |           |                            |
|                                      |  | 2003/0236542 A1 | 12/1/2003  | Makower           |       |           |                            |
|                                      |  | 2004/0015225 A1 | 1/1/2004   | Kim et al.        |       |           |                            |
|                                      |  | 2004/0019348 A1 | 1/1/2004   | Stevens et al.    |       |           |                            |
|                                      |  | 2004/0044392 A1 | 3/1/2004   | Von Oepen         |       |           |                            |
|                                      |  | 2004/0059280 A1 | 3/1/2004   | Makower et al.    |       |           |                            |
|                                      |  | 2004/0073157 A1 | 4/1/2004   | Knudson et al.    |       |           |                            |
|                                      |  | 2004/0073238 A1 | 4/1/2004   | Makower           |       |           |                            |
|                                      |  | 2004/0077987 A1 | 4/1/2004   | Rapacki et al.    |       |           |                            |
|                                      |  | 2004/0077988 A1 | 4/1/2004   | Tweden et al.     |       |           |                            |
|                                      |  | 2004/0077990 A1 | 4/1/2004   | Knudson et al.    |       |           |                            |
|                                      |  | 2004/0088042 A1 | 5/1/2004   | Kim et al.        |       |           |                            |



OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

|             |                             |            |            |
|-------------|-----------------------------|------------|------------|
| App. No.    | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant   | Robert Edward KOHLER et al. |            |            |
| Filing Date | January 16, 2002            | Group:     | 3731       |

|  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|

## FOREIGN PATENT DOCUMENTS

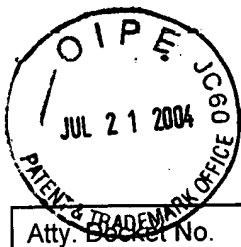
|  | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|--|-----------------|------------------|---------|-------|-----------|-----------------------|
|  | WO 96/35469     | 11/1/1996        | PCT     |       |           | Abstract              |
|  | WO 96/39962     | 12/1/1996        | PCT     |       |           |                       |
|  | WO 96/39964     | 12/1/1996        | PCT     |       |           |                       |
|  | WO 96/39965     | 12/1/1996        | PCT     |       |           |                       |
|  | WO 97/13463     | 4/1/1997         | PCT     |       |           |                       |
|  | WO 97/13471     | 4/1/1997         | PCT     |       |           |                       |
|  | WO 97/27893     | 8/1/1997         | PCT     |       |           |                       |
|  | WO 97/27897     | 8/1/1997         | PCT     |       |           |                       |
|  | WO 97/27898     | 8/1/1997         | PCT     |       |           |                       |
|  | WO 97/32551     | 9/1/1997         | PCT     |       |           |                       |
|  | WO 97/43961     | 11/1/1997        | PCT     |       |           |                       |
|  | WO 98/03118     | 1/1/1998         | PCT     |       |           |                       |
|  | WO 98/06356     | 2/1/1998         | PCT     |       |           |                       |
|  | WO 98/10714     | 3/1/1998         | PCT     |       |           |                       |
|  | WO 98/16161     | 4/1/1998         | PCT     |       |           |                       |
|  | WO 98/24373     | 6/1/1998         | PCT     |       |           |                       |
|  | WO 98/25533     | 6/1/1998         | PCT     |       |           |                       |
|  | WO 98/38916     | 9/1/1998         | PCT     |       |           |                       |
|  | WO 98/38925     | 9/1/1998         | PCT     |       |           |                       |
|  | WO 98/38939     | 9/1/1998         | PCT     |       |           |                       |
|  | WO 98/38941     | 9/1/1998         | PCT     |       |           |                       |
|  | WO 98/39038     | 9/1/1998         | PCT     |       |           |                       |
|  | WO 98/46115     | 10/1/1998        | PCT     |       |           |                       |
|  | WO 98/46119     | 10/1/1998        | PCT     |       |           |                       |
|  | WO 98/49964     | 11/1/1998        | PCT     |       |           |                       |
|  | WO 98/57590     | 12/1/1998        | PCT     |       |           |                       |



## INFORMATION DISCLOSURE CITATION

|                                       |                  |            |            |
|---------------------------------------|------------------|------------|------------|
| Applicant                             | 7883.0111        | Appln. No. | 10/052,156 |
| Applicant Robert Edward KOHLER et al. |                  |            |            |
| Filing Date                           | January 16, 2002 | Group:     | 3731       |

|  |             |           |     |  |  |  |
|--|-------------|-----------|-----|--|--|--|
|  | WO 98/57591 | 12/1/1998 | PCT |  |  |  |
|  | WO 98/57592 | 12/1/1998 | PCT |  |  |  |
|  | WO 99/07296 | 2/1/1999  | PCT |  |  |  |
|  | WO 99/08624 | 2/1/1999  | PCT |  |  |  |
|  | WO 99/15220 | 4/1/1999  | PCT |  |  |  |
|  | WO 99/17671 | 4/1/1999  | PCT |  |  |  |
|  | WO 99/17683 | 4/1/1999  | PCT |  |  |  |
|  | WO 99/21490 | 5/1/1999  | PCT |  |  |  |
|  | WO 99/21510 | 5/1/1999  | PCT |  |  |  |
|  | WO 99/22655 | 5/1/1999  | PCT |  |  |  |
|  | WO 99/22658 | 5/1/1999  | PCT |  |  |  |
|  | WO 99/25273 | 5/1/1999  | PCT |  |  |  |
|  | WO 99/27985 | 6/1/1999  | PCT |  |  |  |
|  | WO 99/35977 | 7/1/1999  | PCT |  |  |  |
|  | WO 99/35979 | 7/1/1999  | PCT |  |  |  |
|  | WO 99/35980 | 7/1/1999  | PCT |  |  |  |
|  | WO 99/36000 | 7/1/1999  | PCT |  |  |  |
|  | WO 99/36001 | 7/1/1999  | PCT |  |  |  |
|  | WO 99/40853 | 8/1/1999  | PCT |  |  |  |
|  | WO 99/40868 | 8/1/1999  | PCT |  |  |  |
|  | WO 99/40963 | 8/1/1999  | PCT |  |  |  |
|  | WO 99/44524 | 9/1/1999  | PCT |  |  |  |
|  | WO 99/48545 | 9/1/1999  | PCT |  |  |  |
|  | WO 99/48549 | 9/1/1999  | PCT |  |  |  |
|  | WO 99/49793 | 10/1/1999 | PCT |  |  |  |
|  | WO 99/49910 | 10/1/1999 | PCT |  |  |  |
|  | WO 99/51162 | 10/1/1999 | PCT |  |  |  |
|  | WO 99/53863 | 10/1/1999 | PCT |  |  |  |
|  | WO 99/55406 | 11/1/1999 | PCT |  |  |  |
|  | WO 99/60941 | 12/1/1999 | PCT |  |  |  |



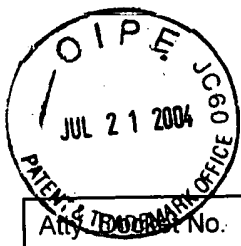
OMB No. 0651-0011

# INFORMATION DISCLOSURE CITATION

|                  |                             |            |            |
|------------------|-----------------------------|------------|------------|
| Atty. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant        | Robert Edward KOHLER et al. |            |            |
| Filing Date      | January 16, 2002            | Group:     | 3731       |

|  |             |           |     |  |  |  |
|--|-------------|-----------|-----|--|--|--|
|  | WO 99/62430 | 12/1/1999 | PCT |  |  |  |
|  | WO 00/09195 | 2/1/2000  | PCT |  |  |  |
|  | WO 00/12029 | 3/1/2000  | PCT |  |  |  |
|  | WO 00/13722 | 3/1/2000  | PCT |  |  |  |
|  | WO 00/18302 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/18323 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/18325 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/18326 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/18331 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/18462 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/21436 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/21461 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/21463 | 4/1/2000  | PCT |  |  |  |
|  | WO 00/24449 | 5/1/2000  | PCT |  |  |  |
|  | WO 00/33725 | 6/1/2000  | PCT |  |  |  |
|  | WO 00/35376 | 6/1/2000  | PCT |  |  |  |
|  | WO 00/36997 | 6/1/2000  | PCT |  |  |  |
|  | WO 00/41632 | 7/1/2000  | PCT |  |  |  |
|  | WO 00/41633 | 7/1/2000  | PCT |  |  |  |
|  | WO 00/43051 | 7/1/2000  | PCT |  |  |  |
|  | WO 00/45711 | 8/1/2000  | PCT |  |  |  |
|  | WO 00/45886 | 8/1/2000  | PCT |  |  |  |
|  | WO 00/49952 | 8/1/2000  | PCT |  |  |  |
|  | WO 00/49954 | 8/1/2000  | PCT |  |  |  |
|  | WO 00/49956 | 8/1/2000  | PCT |  |  |  |
|  | WO 00/54660 | 9/1/2000  | PCT |  |  |  |
|  | WO 00/54661 | 9/1/2000  | PCT |  |  |  |
|  | WO 00/56224 | 9/1/2000  | PCT |  |  |  |
|  | WO 00/56225 | 9/1/2000  | PCT |  |  |  |
|  | WO 00/56387 | 9/1/2000  | PCT |  |  |  |





OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

|                                       |                       |
|---------------------------------------|-----------------------|
| Atty. Ref. No. 7883.0111              | Appln. No. 10/052,156 |
| Applicant Robert Edward KOHLER et al. |                       |
| Filing Date January 16, 2002          | Group: 3731           |

|  |                 |           |     |  |  |  |
|--|-----------------|-----------|-----|--|--|--|
|  | WO 00/66007     | 11/1/2000 | PCT |  |  |  |
|  | WO 00/66009     | 11/1/2000 | PCT |  |  |  |
|  | WO 00/66035     | 11/1/2000 | PCT |  |  |  |
|  | WO 00/69345     | 11/1/2000 | PCT |  |  |  |
|  | WO 00/69504     | 11/1/2000 | PCT |  |  |  |
|  | WO 01/08566 A1  | 2/1/2001  | PCT |  |  |  |
|  | WO 01/08602 A1  | 2/1/2001  | PCT |  |  |  |
|  | WO 01/10350 A1  | 2/1/2001  | PCT |  |  |  |
|  | WO 01/17440 A1  | 3/1/2001  | PCT |  |  |  |
|  | WO 01/23016 A1  | 4/1/2001  | PCT |  |  |  |
|  | WO 01/41657 A1  | 6/1/2001  | PCT |  |  |  |
|  | WO 01/49187 A1  | 7/1/2001  | PCT |  |  |  |
|  | WO 01/68158 A1  | 9/1/2001  | PCT |  |  |  |
|  | WO 01/70133 A2  | 9/1/2001  | PCT |  |  |  |
|  | WO 01/72239 A2  | 10/1/2001 | PCT |  |  |  |
|  | WO 01/78801 A2  | 10/1/2001 | PCT |  |  |  |
|  | WO 01/82803 A1  | 11/1/2001 | PCT |  |  |  |
|  | WO 02/011807 A2 | 2/1/2002  | PCT |  |  |  |
|  | WO 02/013698 A1 | 2/1/2002  | PCT |  |  |  |
|  | WO 02/013699 A1 | 2/1/2002  | PCT |  |  |  |
|  | WO 02/013703 A1 | 2/1/2002  | PCT |  |  |  |
|  | WO 02/013704 A1 | 2/1/2002  | PCT |  |  |  |
|  | WO 02/024108 A2 | 3/1/2002  | PCT |  |  |  |
|  | WO 02/024247 A1 | 3/1/2002  | PCT |  |  |  |
|  | WO 02/024248 A1 | 3/1/2002  | PCT |  |  |  |
|  | WO 02/026310 A1 | 4/1/2002  | PCT |  |  |  |
|  | WO 02/026462 A1 | 4/1/2002  | PCT |  |  |  |
|  | WO 02/030325 A2 | 4/1/2002  | PCT |  |  |  |
|  | WO 02/030326 A2 | 4/1/2002  | PCT |  |  |  |
|  | WO 02/030330 A2 | 4/1/2002  | PCT |  |  |  |



OMB No. 0651-0011

# INFORMATION DISCLOSURE CITATION

|                  |                             |            |            |
|------------------|-----------------------------|------------|------------|
| Atty. Serial No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant        | Robert Edward KOHLER et al. |            |            |
| Filing Date      | January 16, 2002            | Group:     | 3731       |

|  |                 |           |     |  |  |  |
|--|-----------------|-----------|-----|--|--|--|
|  | WO 02/032330 A2 | 4/1/2002  | PCT |  |  |  |
|  | WO 02/034323 A2 | 5/1/2002  | PCT |  |  |  |
|  | WO 02/045598 A2 | 6/1/2002  | PCT |  |  |  |
|  | WO 02/049465 A2 | 6/1/2002  | PCT |  |  |  |
|  | WO 02/056937 A2 | 7/1/2002  | PCT |  |  |  |
|  | WO 02/058567 A2 | 8/1/2002  | PCT |  |  |  |
|  | WO 02/058591 A2 | 8/1/2002  | PCT |  |  |  |
|  | WO 02/062265 A2 | 8/1/2002  | PCT |  |  |  |
|  | WO 02/064020 A2 | 8/1/2002  | PCT |  |  |  |
|  | WO 02/071974 A2 | 9/1/2002  | PCT |  |  |  |
|  | WO 02/074175 A2 | 9/1/2002  | PCT |  |  |  |
|  | WO 02/091958 A1 | 11/1/2002 | PCT |  |  |  |
|  | WO 03/008005 A2 | 1/1/2003  | PCT |  |  |  |
|  | WO 03/015638 A2 | 2/1/2003  | PCT |  |  |  |
|  | WO 03/017870 A1 | 3/1/2003  | PCT |  |  |  |
|  | WO 03/024307 A2 | 3/1/2003  | PCT |  |  |  |
|  | WO 03/028522 A2 | 4/1/2003  | PCT |  |  |  |
|  | WO 03/030744 A1 | 4/1/2003  | PCT |  |  |  |
|  | WO 03/030784 A1 | 4/1/2003  | PCT |  |  |  |
|  | WO 03/041469 A2 | 6/1/2003  | PCT |  |  |  |
|  |                 |           |     |  |  |  |

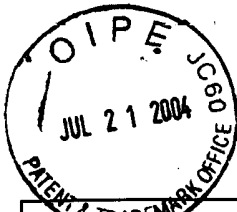
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |   |
|--|---|
|  | Tweden et al. <i>Ventriculocoronary Artery Bypass (VCAB), a Novel Approach to Myocardial Revascularization.</i>   |
|  | Wakabayashi et al.; <i>Myocardial boring for the ischemic heart</i> ; International Cardiovascular Society; Vol. 95 (Nov. 1967) pp. 743-752                                       |
|  | Lary et al.; <i>Myocardial revascularization experiments using the epicardium</i> ; Arch. Surg., Vol. 98 (Jan. 1969) pp. 69-72  |
|  | Kuzela et al.; <i>Experimental evaluation of direct transventricular revascularization</i> ; Journal of Thoracic and Cardiovascular Surgery, Vol. 57 (Jan.-June 1969) pp. 770-773 |



## INFORMATION DISCLOSURE CITATION

|                  |                             |            |            |
|------------------|-----------------------------|------------|------------|
| Atty. Docket No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant        | Robert Edward KOHLER et al. |            |            |
| Filing Date      | January 16, 2002            | Group:     | 3731       |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |   |
|--|---|
|  | Anabtawi et al.; <i>Experimental evaluation of myocardial tunnelization as a method of myocardial revascularization</i> ; Journal of Thoracic and Cardiovascular Surgery, (Nov. 1969) pp. 638-646   |
|  | Palmaz et al.; <i>Expandable intrahepatic portacaval shunt stents in dogs with chronic portal hypertension</i> ; AJR, Vol. 147 (Dec. 1986) pp. 1251-1254  |
|  | Palmaz et al.; <i>Expandable intrahepatic portacaval shunt stents: early experience in the dog</i> ; AJR, Vol. 145 (Oct. 1985) pp. 821-825  |
|  | Gardner et al.; <i>An experimental anatomic study of indirect myocardial revascularization</i> ; Journal of Surgical Research, Vol. 11 (1971) pp. 243-247   |
|  | Lary et al., <i>A method for creating a coronary-myocardial artery</i> ; Surgery, Vol. 59 (June 1966) pp. 1061-10640  |
|  | Ahmed et al.; <i>Silent left coronary artery-cameral fistula: probable cause of myocardial ischemia</i> ; American Heart Journal, Vol. 104 (Oct. 1982) pp. 869-870  |
|  | Zemel et al.; <i>Percutaneous transjugular portosystemic shunt</i> ; JAMA, Vol. 266 (July 1991) pp. 390-393   |
|  | Richter et al.; <i>Transjugular intrahepatic portacaval stent shunt: preliminary clinical results</i> ; RSNA-SCVIR, Vol. 174 (March 1990) pp. 1027-1030   |
|  | Angell et al., <i>Organ viability with hypothermia</i> , The Journal of Thoracic and Cardiovascular Surgery, Vol. 58, No. 5 (Nov. 1969), pp. 619-646.   |
|  | Massimo et al., <i>Myocardial, Revascularization by a New Method of Carrying Blood Directly from the left ventricular cavity into the Coronary Circulation</i> , from the S. Maria.Nuova Hospital: Surgeon-in-Chief, Tominiasio Greco, M.D., received for publication Oct. 16, 1956, J. Thoracic Surgery Vol. 34: (1957) pp. 257-264. |
|  | Archie, Joseph P. Jr., <i>Intramyocardial Pressure: Effect of Preload on Transmural Distribution of Systolic Coronary Blood Flow</i> , The American Journal of Cardiology, Vol. 35, (June 1975), pp. 904-911.   |
|  | Burch, et al., <i>An International Publication for the Study of the Circulation</i> , American Heart Journal, (Jan. 1980), pp. 8-9.   |
|  | Lee et al., <i>Effects of laser Irradiation delivered by flexible fiberoptic system on the left ventricular internal myocardium</i> , AMJ, (Sep. 1983), Vol. 106, No. 3, pp. 587-590.   |
|  | Galioto, et al., <i>Right coronary artery to left ventricle fistula</i> , AHJ, Vol. 82, No. 1, (Jul. 1971), No. 1, pg. 93-97.   |
|  | Levinsky, et al., <i>The Revival of the Horseshoe Graft</i> , The Thoracic and Cardiovascular Surgeon, Vol. 27, No. 5, (Oktober 1979), pp. 281-344.   |
|  | Medical Industry Today Headline News, Device and Diagnostics, (Jul. 17, 1998), Article # 07179802, Article is 349 words long, pp.1-2.   |
|  | Medical Industry Today Headline News, Financial News, (Jul. 17, 1998), Article 07179808, article is 560 words long, pp. 1-2.  |



OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

|                       |                             |            |            |
|-----------------------|-----------------------------|------------|------------|
| Atty. & Trademark No. | 7883.0111                   | Appln. No. | 10/052,156 |
| Applicant             | Robert Edward KOHLER et al. |            |            |
| Filing Date           | January 16, 2002            | Group:     | 3731       |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |   |
|--|---|
|  | Munro, et al., <i>The possibility of myocardial revascularization by creation of a left ventriculocoronary artery fistula</i> , The Journal of Thoracic and Cardiovascular Surgery, Vol. 58, (1969), pp. 25-32.                         |
|  | Bohning, et al., <i>The Thebesian Vessels as a Source of Nourishment for the Myocardium</i> , From the Cardiovascular Laboratory, Department of Physiology, Michael Reese Hospital, Chicago, Received for publication on June 23, 1933. |
|  | Oesterle, et al., <i>Catheter-Based Coronary Bypass: A Development Update</i> , Catheterization and Cardiovascular Interventions, Vol. 58, (2003), pp. 212-218.   |
|  | Goldman, et al. <i>Experimental Methods for Producing a Collateral Circulation to the Heart Directly from the Left Ventricle</i> , J. Thoracic Surgery, Vol. 31, No. 3 (Mar. 1956) pp. 364-374.   |
|  | Cohen et al., <i>Alternative Approaches to Coronary Revascularization</i> , Current International Cardiology Reports, Vol. 1 (1999), pp. 138-146.   |

|   |   |
|---|---|
| Examiner  | Date Considered   |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |
| Form PTO 1449   | Patent and Trademark Office - U.S. Department of Commerce |